

SUBSTITUTE
Form **W-8BEN**

U.S. Bank Nat'l Assoc. (07/2017)
U.S. Bank Branch # _____
OMB No. 1545-1621

**Certificate of Foreign Status of Beneficial Owner
for United States Tax Withholding**

>Section references are to the Internal Revenue Code. See instructions below and on back.
>Provide this form to U.S. Bank. Do not send to the IRS.
>The person whose name is listed on line 1 must sign the certification.
>For use by individuals. Entities must use Form W-8BEN-E.
>Resident aliens should not use this form but should complete Form W-9.

Lines with
**Bold print
titles** must be
completed.

Part I Identification of Beneficial Owner (see instructions)

1 Name of individual who is the beneficial owner (1 name per form) _____ **2 Country of citizenship** _____

3 Permanent residence address (street, apt. no., or rural route). Do not use a P.O. Box or in-care-of address.

City or town, state or province. Include postal code where appropriate. _____ **Country** _____

4 Mailing address (if different from above) (Complete Part II if mailing address is in the U.S.)

City or town, state or province. Include postal code where appropriate. _____ **Country** _____

5 U.S. taxpayer identification number (SSN or ITIN), if required (see instructions) _____ **6 Foreign tax identifying number (TIN)** if issued by your country (see instructions) _____

7 Account number(s) _____ **Explanation if foreign TIN is not provided** (e.g. not legally required) _____

8 Date of birth (see instructions)
Month (MM) _____ Day (DD) _____ Year (YYYY) _____

Part II Additional Documentation for Customers Providing Mailing Addresses, or Telephone Number in the United States without providing a foreign telephone number

1. Additional documentary evidence of foreign status: Provide U.S. Bank with a copy of an official document showing your name and address in the country listed on line 3 (if providing a passport, the address rule does not apply). Please check the box below that identifies the type of document you are furnishing. **(Attach photocopy of ID to this form.)**

- Foreign Passport Type/Class A, B, F, G, I, J, M, and Q Visa Non-U.S. Driver's License Voter Registration Card
 National Foreign ID Card

2. Checklist OR written statement supporting foreign status: You must complete the attached checklist OR written statement to provide U.S. Bank with the reason you are using a U.S. address, and/or U.S. telephone number without providing a foreign telephone number in connection with your account(s).

Part III Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income to which this form relates or am using this form to document myself as an individual that is an owner or account holder of a foreign financial institution,
- The person named on line 1 of this form is not a U.S. person,
- The income to which this form relates is:
 - (a) not effectively connected with the conduct of a trade or business in the United States,
 - (b) effectively connected but is not subject to tax under an applicable income tax treaty, or
 - (c) the partner's share of a partnership's effectively connected income,
- The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country, and
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner. I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.

Sign Here > _____
Signature of beneficial owner (or individual authorized to sign for beneficial owner) Date

Print name of signer Capacity in which acting (if form is not signed by beneficial owner)

POA – copy of authorization must be attached

COMPLETE EITHER THE CHECKLIST OR THE WRITTEN STATEMENT

Checklist Supporting Foreign Status - Section A or B

Complete Section A or B if applicable when section A or B cannot be completed the Written Statement Supporting Foreign Status must be completed

Section A: Specific Visa Status

I am (Select one):

- for Canadian students only - a student at a U.S. educational institution and holds an I-94 record confirming admission to the U. S. or an "F", "J", "M", or "Q" visa;
- for Canadian students only - a teacher, trainee, or intern at a U.S. educational institution or a participant in an educational or cultural exchange visitor program and holds an I-94 record confirming admission to the U. S. with an "J" or "Q" status;
- a student at a U.S. educational institution and hold an "F", "J", "M", or "Q" visa;
- a teacher, trainee, or intern at a U.S. educational institution or a participant in an educational or cultural exchange visitor program and hold an "J" or "Q" visa;
- a foreign individual assigned to a diplomatic post or a position in a consulate, embassy, or international organization in the U.S.; or
- a spouse or unmarried child under the age of 21 years of one of the persons described above in section A.

Section B: Substantial Presence Test

You will be considered a U. S. resident for tax purposes if you meet the substantial presence test for the calendar year.

I do not meet the substantial presence test because I have been in the United States:

_____ days in the current calendar year
_____ days in the prior calendar year
_____ days in the initial year (2 years prior)

Under penalties of perjury, I declare that I have examined the information on this checklist and to the best of my knowledge and belief it is true, correct, and complete.

Sign Here >

Signature of beneficial owner (or individual authorized to sign)

Date

Print name of signer

Written Statement Supporting Foreign Status (Do not complete checklist)

I am a foreign person despite providing U.S. indicia (U.S. address, U.S. telephone number without providing a foreign telephone number, etc.) in relation to my account for the following reason: _____

Under penalties of perjury, I declare that I have examined the information on this written statement and to the best of my knowledge and belief it is true, correct, and complete.

Sign Here >

Signature of beneficial owner (or individual authorized to sign)

Date

Print name of signer